

IFW

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent ap	plication of
Applicant: Serial No.: Filed: For: Art Unit: Examiner:	Grzegorz Guzik, et al. 10/811,654 March 29, 2004 Key Performance Indicator System and Method 3624 Unknown
	Information Disclosure Statement
	sissioner for Patents ginia 22313-1450
Sir:	
patents, publicat except for: (a) p upon which this	to 37 C.F.R. 1.97 and 1.98, and in compliance with 37 C.F.R. 1.56, the Office's attention is directed to the ions and other information listed on the attached PTO-1449. A copy of each listed document is enclosed pending applications or (b) those previously cited or submitted to the Office in the following application(s) application relies for an earlier filing date under 35 U.S.C. 120; or (c) U.S. Patent(s) and published ince the subject application was filed after June 30, 2003.
Serial No.: Filing Date:	
Applicant(s) beli	ocument, publication or other information for which a date is not given on the attached PTO-1449, ieve(s) the same may qualify as "prior" art to this application and should be treated accordingly, although erve(s) the right to contest the prior art status of any document, publication or information, should issue arise.
	g each listed document that is not in the English language, an English-language translation accompanies this icated on the attached PTO-1449 or a concise explanation of the relevance of the document is set forth in the nent(s):
(a)C	opy of each English language version of a search report indicating the degree of relevance found by the foreign office of each document being submitted from the search report.
(b)A	ttachment entitled "Concise Explanation of Relevance of Non-English Language Documents".
3. Pursuant	to 37 C.F.R. 1.97(b) this Statement is being filed (one must be checked):
(a) <u>X</u>	Within 3 months of the filing date or date of entry into the National Stage.
(b)	Before the mailing date of a first Office Action on the merits. If this Statement is not filed before the mailing date of a first Office Action on the merits, the required certification is given below or, in the

Account No. 50-1063 for consideration of this Statement.

absence thereof, the Office is authorized to charge the required fee set forth in 37 C.F.R. 1.17(p) to Deposit

	(c) After the period set forth in 37 C.F.R. 1.97(b) but before the mailing date of either a final action or a notice of allowance.
	(1) The required certification is given below, or
	(2) Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(p) for consideration of this Statement, or
	(3) Charge the fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. 50-1063.
	(d)After the mailing date of either a final action or a notice of allowance, but before payment of the issue fee.  Petition hereby is made for consideration of this Statement and the required certification is indicated below.
	(1) Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(i)(1), or
	(2) Charge the fee set forth in 37 C.F.R. 1.17(i)(1) to Deposit Account No. 50-1063.
4.	Certification (if applicable)
	(a) The undersigned hereby certifies that each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this Statement.
	(b)The undersigned hereby certifies that no item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the undersigned's knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than 3 months prior to the filing of this Statement.
5.	The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 50-1063.
	Respectfully Submitted,

Jeffrey R. Sadlowski Reg. No. 47,914

24<sup>TH</sup> Floor, National City Center 1900 East 9<sup>th</sup> Street Cleveland, Ohio 44114 (216) 696-8730

## CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence (along with any paper referenced as being attached or enclosed) is being deposited on the below date with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Assistant Commissioner for Patents, Alexandria, Virginia 22313-1450.

Date: 6-29-2004

Jeffrev R. Sadlowski

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE perviork Reduction Act of 1995, he persons are required to respond to a collection of information unless it contains a valid OMB control number.

Under the Paper ork Residetion Act of 1995 to
Substitute for form 19/PTO
TRACELLA

Sheet

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Ωf

(Use as many sheets as necessary)

Complete if Known				
Application Number	10/811,654			
Filing Date	March 29, 2004			
First Named Inventor	Grzegorz Guzik			
Art Unit	3624			
Examiner Name	Unknown			
Attorney Docket Number	MS302331 1/MSETP597US			

			U. S. PATEN	T DOCUMENTS	
Examiner Cite No.1		Document Number  Number-Kind Code <sup>2 (f known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
/J.A./		<sup>US-</sup> 6,738,955	05-18-2004	Andersen, et al.	
/J.A./		<sup>US-</sup> 5,485,574	01-16-1996	Bolosky, et al.	
		<sup>US-</sup> 6,748,555	06-08-2004	Teegan, et al.	
/J.A./		US-			
		US-			
	İ	US-			
		US-			

	FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages			
		Country Code <sup>3 "</sup> Number <sup>4 "</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T°		
	L							
			·					
					· ·			

Examiner Signature	/John Anderson/	Date Considered	/John Anderson/

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Senter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/08B (08-03) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form **Application Number** 10/811,654 INFORMATION DISCLOSURE **Filing Date** March 29, 2004 STATEMENT BY APPLICANT **First Named Inventor** Grzegorz Guzik Art Unit

**Examiner Name** 

(Use as many sheets as necessary)

3624

Unknown

Sheet	2		of	2	Attorney Docket Number	MS302331.1/MSFTP597US	
<u></u>				NON PAT	ENT LITERATURE DOC	UMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	ite Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of			T <sup>2</sup>		
/J.A./		NORMAN E. FENTON and MARTIN NEIL. Software Metrics: Roadmap. Proceedings of the Conference on the Future of Software Engineering, pp.357-370, 2000.					
/J.A./		M. BEN-MENACHEM and R. GELBARD. Integrated IT Management Tool Kit. Communications of the ACM, Vol. 45 Issue 4, pp.96-102, 2002.					
/J.A./		SAND Comp	SANDEEP PURAO and VIJAY VAISHNAVI. Product Metrics for Object-Oriented Systems. ACM Computing Surveys, Vol. 35 Issue 2, pp. 191-221, 2003.				
							-

Examiner	/John Anderson/	Date	40/07/000
Signature	, 55 mm a 100 mm	Considered	10/07/2009

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional), 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.